

# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

(11) International Publication Number:

WO 98/54277

C11D 1/66, 1/44, 1/72, 3/43

A1

(43) International Publication Date:

3 December 1998 (03.12.98)

(21) International Application Number:

PCT/US97/18353

(22) International Filing Date:

10 October 1997 (10.10.97)

(30) Priority Data:

08/864,149

28 May 1997 (28.05.97)

US

- (71) Applicant: MINNESOTA MINING AND MANUFACTUR-ING COMPANY [US/US]; 3M Center, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).
- (72) Inventor: LIU, Augustine; P.O. Box 33427, Saint Paul, MN 55133-3427 (US).
- (74) Agents: PASTIRIK, Daniel, R. et al.; Minnesota Mining and Manufacturing Company, Office of Intellectual Property Counsel, P.O. Box 33427, Saint Paul, MN 55133-3427

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

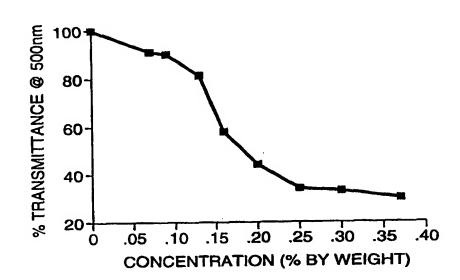
#### Published

With international search report.

(54) Title: CLEANING COMPOSITION AND METHOD OF USE

#### (57) Abstract

A composition capable of removing hydrophobic soils is provided that includes a nonionic surfactant; a very slightly water soluble organic water; and an solvent: optional additive. Improved hydrophobic soil removal is achieved wherein an amount of the slightly water soluble solvent with respect to an amount of the surfactant is sufficient to achieve a haze point in the composition.



## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
	Australia	GB	United Kingdom	MC	Monaco	TD	Chad
AZ BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
		GH	Ghana	MG	Madagascar	TJ	Tajikistan
BB	Barbados	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BE	Belgium	GR	Greece		Republic of Macedonia	TR	Turkey
BF	Burkina Faso	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BG	Bulgaria	IE	Ireland	MN	Mongolia	UA	Ukraine
BJ	Benin		Israel	MR	Mauritania	UG	Uganda
BR	Brazil	IL.		MW	Malawi	US	United States of America
BY	Belarus	IS	Iceland	MX	Mexico	UZ	Uzbekistan
CA	Canada	IT	Italy	NE NE	Niger	VN	Viet Nam
CF	Central African Republic	JP	Japan		Netherlands	YU	Yugoslavia
CG	Congo	KE	Kenya	NL		zw	Zimbabwe
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zillibaowe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
cz	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

PCT/US97/18353

5

10

15

20

25

30

#### CLEANING COMPOSITION AND METHOD OF USE

The present invention relates to a cleaning composition for removing hydrophobic soil from a soiled surface and to a method for the use of such a composition.

Chemical cleaners are a significant portion of the industrial cleaning market. A chemical cleaner is typically aqueous and comprises an organic solvent to solubilize various soils, a surfactant which serves as a wetting agent, and a builder which serves to chelate ions present in water, such as magnesium and calcium. The types and ratios of these ingredients can vary considerably depending on the types of soils to be cleaned and the performance desired. It is common that all components are water soluble. In some instances, however, particularly with the solvent ingredient, the water solubility can be negligible. In these cases, components commonly called "couplers" or "hydrotropes" are used to increase the apparent water solubility of the organic solvent in the cleaning composition. The amount of coupler required depends on the type of coupler, organic solvent, and the other components of the mixture. It is typically preferred to use the minimum amount of coupler necessary to completely solubilize the solvent, as this tends to reduce the cost of the cleaning composition.

For example, U. S. Patent No. 5,080,831 (VanEenam), describe an aqueous cleaner which includes at least one sparingly water soluble organic solvent having water solubility of about 0.2 weight percent to about 6 weight percent, a solubilizing additive and water. The solubilizing additive is present in an amount to render the sparingly water soluble organic solvent to just completely water soluble so that the resulting aqueous solution is a true solution (i.e., a clear mixture exhibiting no Tyndall effect) rather than an emulsion or microemulsion.

An aqueous composition that is formulated as a microemulsion is described in

U. S. Patent No. 5,158,710 (VanEenam). The microemulsion includes at least one sparingly water soluble organic solvent having water solubility of about 0.2 weight

percent to about 6 weight percent, a builder, a solubilizing additive, and water. In this composition, the solubilizing additive is present in an amount that does not substantially exceed the amount required to transform the combination of the organic solvent and the builder from a true macroemulsion to a microemulsion but less than the amount required to transform the microemulsion to a true solution, wherein the microemulsion is clear and exhibits a Tyndall effect.

An aqueous degreaser composition is described in U. S. Patent No. 5,419,848 (VanEenam). The composition includes at least one sparingly water soluble organic solvent having water solubility of about 0.2 weight percent to about 6 weight percent, a viscosifying thickener, and water. A stable emulsion having a viscosity of at least about 500 centipoise and a droplet size of about 0.1 to 3 millimicrons is produced after subjecting the composition to energetic mixing and/or shear conditions. This relatively thick composition is typically used in lotions, creams, emollients, lubricants, humectants and skin conditioners that do not defat the skin.

10

15

20

25

30

In one embodiment, the present invention relates to a composition for removing hydrophobic soils. The composition preferably includes a nonionic surfactant, a very slightly water soluble organic solvent, water, and an optional additive. Preferably, the nonionic surfactant and the slightly water soluble solvent are each present in an amount sufficient to achieve a haze point in the composition. As used herein, "surfactant" means a substance which is able to reduce the surface tension of water. As used herein, "very slightly water soluble" means that the organic solvent has a water solubility ranging from about 0.01 weight percent to about 1.0 weight percent, more preferably ranging from about 0.01 weight percent to about 0.2 weight percent.

"Haze point," as used herein, means the first sign at which an aqueous composition of a nonionic surfactant titrated at room temperature with a very slightly water soluble organic solvent becomes semitransparent. The haze point is reached at that concentration when the clear solution of the nonionic surfactant transforms to a translucent (or hazy) mixture of the nonionic surfactant

and the very slightly water soluble organic solvent. While not being bound by any particular theory, it is believed that the haze point is that point which a true solution/microemulsion becomes a macroemulsion. Preferably, the composition includes the slightly water soluble organic solvent and the nonionic surfactant in a weight ratio of the slightly water soluble organic solvent: the nonionic surfactant of about 0.3:1.0 to about 0.8:1.0.

5

10

15

20

25

30

Haze point is not intended to be synonymous with "cloud point." Typically, "cloud point" is understood to mean the temperature below which the composition exists as a clear, single phase solution and above which phase separation is observed, often by a cloudy appearance of the solution. Thus, the cloud point of a given solution is temperature dependent. In contrast, haze point is measured at ambient or room temperature (typically from about 20°C to about 25°C). At ambient temperature, the concentration of one of the components is varied. Thus, a composition can be characterized by a haze point that is dependent upon a concentration of one of the components or relative component ratio in the composition. A haze point of a particular composition can be determined using the Haze Point Determination Test, set forth as a Test Method herein.

Preferably, the slightly water soluble organic solvent is not a hydrocarbon or halocarbon, contains one or more heteroatoms of oxygen, nitrogen, sulfur, phosphorous containing functional groups and contains an alkyl group containing about 7 carbon atoms to about 16 carbon atoms. More preferably, the slightly water soluble organic solvent contains a moiety selected from the group of an alcohol, an aldehyde, a ketone, an ether, a glycol ether, an acid, an amine, an ester, an N-alkyl pyrrolidone, and a compatible mixture thereof.

Preferably, the nonionic surfactant is selected from the group of a branched or linear primary alcohol ethoxylate, a secondary alcohol ethoxylate, a branched decyl/tridecyl alcohol ethoxylate, a branched or linear alkylphenol ethoxylate, a branched or linear alkyl amine ethoxylate, an alkyl ether amine ethoxylate, a linear alcohol alkoxylate, and a mixture thereof. More preferably, suitable nonionic surfactants have an HLB value of about 7 to about 16.

Another embodiment of the invention is a method of removing hydrophobic soils from soiled surfaces comprising the steps of applying to a soiled surface an effective amount of the composition, as described above; and performing a mechanical operation on the surface with an abrasive article after applying the composition to the surface. An optional step of removing the composition from the surface may also be included in the method.

It was surprisingly and unexpectedly found that by adjusting the ratio of the slightly water soluble organic solvent relative to the nonionic surfactant to achieve the haze point of the composition, removal of hydrophobic soils was improved as shown by decreased soaking times required for soil removal demonstrated by the examples herein.

Figures 1 and 2 are a graphic representations of achieving a haze point of compositions in accordance with the invention.

15

20

25

30

10

A composition for removing hydrophobic soils in accordance with the invention preferably comprises a nonionic surfactant, a very slightly water soluble organic solvent, water, and, an optional additive. Preferably, the nonionic surfactant and the slightly water soluble solvent are each in an amount sufficient to achieve a haze point in the composition. At a ratio of the slightly water soluble organic solvent to the nonionic surfactant necessary to achieve the haze point, removal of hydrophobic soils improves as compared to compositions where the ratio of the slightly water soluble organic solvent to the nonionic surfactant is either above or below that necessary to achieve the haze point. This phenomenon may indicate improved cleansing properties of the composition of the invention.

#### Nonionic Surfactant

As previously noted, the surfactant serves the function of decreasing the surface tension of water within the compositions of the invention. Nonionic surfactants are a preferred class of surfactants useful in the hydrophobic soil

removing compositions of the invention. Examples are nonionic surfactants formed by condensation of alkyl phenols, alkyl amines, or aliphatic alcohols with sufficient ethylene oxide, propylene oxide, or a combination thereof, to produce a compound having a polyoxyethylene and/or polyoxypropylene chain within the molecule, i.e., a chain composed of recurring (-O-CH<sub>2</sub>-CH<sub>2</sub>-) groups, or a chain composed of recurring (-O-CH<sub>2</sub>-CH<sub>3</sub>) groups, or a combination thereof. Preferably, the nonionic surfactant is selected from the group of a branched or linear primary alcohol ethoxylate, a secondary alcohol ethoxylate, a branched decyl/tridecyl alcohol ethoxylate, a branched or linear alkylphenol ethoxylate, a branched or linear alkyl amine ethoxylate, an alkyl ether amine ethoxylate, a linear alcohol alkoxylate, and a mixture thereof. These nonionic surfactants preferably have an HLB value of about 7 to about 16. "HLB," as used herein, refers to an emulsification behavior of a surfactant as well as the relationship between hydrophilic and lipophilic portions of a molecule.

10

15

20

25

30

Such nonionic surfactants are commercially available and used for their detergent, surface active, wetting and emulsifying properties. One preferred nonionic surfactant used in the invention contains sufficient ethylene oxide units to insure solubility of the nonionic surfactant in the composition or in any dilution thereof which may be used in practice. One preferred group of nonionic surfactants includes from about 5 moles to about 40 moles of ethylene oxide per mole of nonionic surfactant, and more preferably about 5 moles to about 15 moles of ethylene oxide per mole of nonionic surfactant. Suitable nonionic surfactants include linear primary alcohol ethoxylates such as available under the trade designation of "NEODOL 91-6" (a C9-C11 alcohol having about 6 moles ethylene oxide per mole of linear primary alcohol ethoxylate) and "NEODOL 1-73B," (a  $C_{11}$ alcohol with a blend of 7 moles and 3 moles of ethylene oxide per mole of linear primary alcohol ethoxylate) both are commercially available from Shell Oil Company, Houston, TX; ethoxylated tridecyl alcohols such as "ICONOL TDA8" (having 8 moles of ethylene oxide per mole of ethoxylated tridecyl alcohol), and "ICONOL TDA9" (having 9 moles of ethylene oxide per mole of ethoxylated tridecyl alcohol), "ICONOL DA9" (an ethoxylated decyl alcohol having 9 moles of

PCT/US97/18353

10

15

25

30

ethylene oxide per mole of ethoxylated decyl alcohol) and "ICONOL OP10" (ethoxylated octylphenol having 10 moles of ethylene oxide per mole of ethoxylated octylphenol) all commercially available from BASF, Mount Olive, NJ; "E14-5" (isodecyloxypropyl amine ethoxylate having 5 moles of ethylene oxide per mole of isodecyloxypropyl amine ethoxylate), commercially available from Tomah, Milton, WI; and "TRITON RW-75" (a C<sub>12</sub>-C<sub>14</sub> amine ethoxylate having 9 moles of ethylene oxide per mole of amine ethoxylate), commercially available from Union Carbide, Little Fall, NJ. Another preferred group of nonionic surfactants includes "PLURAFAC D-25" and "PLURAFAC RA-40," both being modified oxyethylated straight chain alcohol and are commercially available from BASF, Mount Olive, NJ, to name a few.

The weight percent of the surfactant typically ranges from about 0.1 to about 1.0 weight percent in ready-to-use formulations, with amounts of the surfactant greater than about 1.0 weight percent being uneconomical and not typically rendering a more beneficial wetting property. If the amount of nonionic surfactant is below about 0.1 weight percent, insufficient wetting of the hydrophobic soil-covered surface may be noticed, but this is not necessarily considered outside of the invention.

#### 20 Slightly Water Soluble Organic Solvent

The slightly water soluble organic solvent used in the compositions of the invention serves to promote fast drying properties of the compositions, and to solubilize organic materials in hydrophobic soils.

As used herein the term "very slightly water-soluble" means that the organic solvent has a water solubility ranging from about 0.01 weight percent to about 1.0 weight percent, more preferably ranging from about 0.01 weight percent to about 0.2 weight percent at about 20°C. Preferably, the slightly water soluble organic solvent is not a hydrocarbon or halocarbon, contains one or more heteroatoms of oxygen, nitrogen, sulfur, phosphorous containing functional groups and contains an alkyl group containing about 7 carbon atoms to about 16 carbon atoms. More preferably, the slightly water soluble organic solvent contains a moiety selected

from the group of an alcohol, an aldehyde, a ketone, an ether, a glycol ether, an acid, an amine, an ester, a pyrrolidone, and a compatible mixture thereof.

Such slightly water soluble organic solvents are commercially available. For example, one preferred slightly water soluble organic solvent is an N-octyl pyrrolidone, available under the trade designation "SURFADONE" LP-100 from International Specialty Products, Wayne, NJ, having a maximum solubility in water of about 0.124 weight percent.

Other preferred slightly water soluble organic solvents include other commercially available materials available under the trade designation "EEH," (ethylene glycol, ethyl hexyl ether having a water solubility of about 0.1 weight percent) and "EH" (2-ethyl hexanol having a water solubility of about 0.1 weight percent), both commercially available from Eastman Chemical, Kingsport, TN; and "EXXAL-8" (isooctyl alcohol having a water solubility of about 0.06 weight percent), commercially available from Exxon, Houston, TX. Others include 1-octanol having a water solubility of about 0.1 weight percent and di-isobutyl ketone having a water solubility of about 0.05 weight percent, both commercially available from Aldrich Chemicals, Milwaukee, WI.

#### Optional Additives

5

10

15

20

25

30

The compositions of the invention may contain other optional but conventional additives. For example, the composition according to the invention may contain a coupler, typically of low molecular weight (less than 500), which has as its primary function the ability to substantially completely solubilize the organic solvents useful in the compositions of the invention.

Couplers may also have surfactant properties. This however is not their primary function. The term "hydrotrope" is also sometimes used to describe coupling chemicals, and the terms "coupler" and "hydrotrope" are used interchangeably herein. A suitable coupler that may optionally be included in the composition of the invention is preferably selected from the group of isopropyl alcohol, DPM (dipropylene glycol monomethyl ether), propyl glycol n-butyl ether, dipropylene glycol n-butyl ether, and a mixture thereof.

The compositions may also contain a colorant to provide a more aesthetic appearance, a fragrance to provide more acceptable smell, a preservative to prevent bacterial growth in the solution, a suitable anti-microbial agent or bacteriostat to eradicate germs, mold, mildew, and the like, foaming or anti-foaming agents, film-forming agents, and the like.

5

10

15

20

25

30

Further, it may be advantageous to include a compatible thickening agent to render the viscosity of the compositions of the invention such that they may be applied to a vertical surface, e.g., a base board, and not run therefrom. If such running occurs, the residence time of the composition with respect to the surface being cleaned would be reduced. Alternatively, the composition may run onto areas where it is not wanted.

In use, the compositions of the invention may be sprayed as an aerosol or non-aerosol upon the surface to be cleaned, or simply poured thereon. Spraying can be accomplished by conventional mechanical spraying devices or by using an aerosol dispensing container with a sufficient amount of suitable aerosol propellant such as a low boiling alkanes or mixtures thereof, such as a mixture isobutane and propane.

## Methods of Cleaning Surfaces Using the Compositions of the Invention

The compositions of the invention may be applied to a soiled surface in concentrated or ready-to-use (rtu) form as desired. Performing a mechanical operation to the soiled surface after application of a composition of the invention may be desired or required for hydrophobic soil removal. Performing a mechanical operation may include wiping, abrading, scrubbing, brushing, and the like.

However, if the underlying surface is soft and/or decorative, abrading or scrubbing may not be desirable. An abrasive article that may be used includes, for example, a porous sponge material, or nonwoven or woven article. One preferred nonwoven material is that known under the trade designation "SCOTCH-BRITE," from Minnesota Mining and Manufacturing Company (3M), St. Paul, MN. Such nonwoven products and their manufacture are described in U.S. Pat. No. 2,958,593 (Hoover et al.). After performing a mechanical operation on the surface, the

composition is preferably removed. This can be accomplished by a variety of techniques that are generally known, including, for example, rinsing the composition from the surface.

5-

#### Examples

The compositions and methods of the invention are further described in the following Test Methods and Examples, wherein all parts and percentages are by weight unless otherwise specified.

#### Test Methods

10

15

20

## **Haze Point Determination Test**

Into a 150 ml glass beaker, a desired amount, typically about 0.1gm to about 0.5gm, of nonionic surfactant was weighed to an accuracy of 0.01 gm on a standard top loading balance. Water was added so that the weight of the aqueous solution of nonionic surfactant was 100 gm total. The beaker containing the aqueous solution of nonionic surfactant was placed on a standard laboratory magnetic stir plate. The solution was stirred with a magnetic stir bar until the solution was clear. The stirring operation did not entrap air or produce foaming of the mixture. A slightly water soluble organic solvent was added dropwise until the solution turned slightly hazy, by visual examination. The beaker was removed from the magnetic stir plate and placed on a standard light box containing a 52 watt/120 volt light bulb. The light box also had a mask of black paper surrounding the four vertical surfaces. The entire top surface of the light box was covered with white bond paper bearing printed alpha-numeric characters of 9 point type and black in color.

25

30

The light in the light box was turned on. From the top surface of the solution, the alpha-numeric characters were viewed through the solution. The haze point was determined by observation of whether the characters were legible or totally obscured. If the characters remained legible, the beaker was replaced on the magnetic stir plate and more slightly water soluble organic solvent was added dropwise and character observation on the light box was repeated. The beaker was weighed and the initial weight was subtracted from the final weight. That weight difference was the amount of the slightly water soluble organic solvent that was

added to reach the haze point. However, if the characters were totally obscured, that is, printed characters of any type cannot be discerned, then the slightly water soluble organic solvent was determined to be in excess and the entire process would need to be repeated. In other words, the haze point was determined at that instant where the printed characters are still visible but that the exact nature of each individual character could not be readily discerned while viewing the characters through the solution on the light box.

## Hydrophobic Soil Removal Test

10

15

20

In the hydrophobic soil removal tests, a hydrophobic soil solution consisting of equal amounts of soy bean oil and lard dissolved in enough methylene chloride to form a solution was prepared. A small amount of oil blue pigment for visualization was added to the solution. 25 millimeter (mm) x 75 mm glass slides were then immersed for a few seconds into the hydrophobic soil and drawn up quickly so that the hydrophobic soil coated both sides of the slide (25 mm x 30 mm on each side). The hydrophobic soil-coated slides were then dried by hanging at room temperature (about 20°C) for at least 16 hours.

In the hydrophobic soil removal test, 140 milliliters (ml) of composition to be tested was placed into a 150 ml glass beaker equipped with a magnetic stir bar (2.54 cm in length). The beaker was then placed on a magnetic stirrer (Barnant Co. model no. 700-5011). The coated glass slide to be cleaned was then suspended vertically in the composition to be tested, coated portion pointing toward the bottom of the beaker with the other end attached to a suitable support, so that the glass slide did not touch anything but the composition being tested, and the stir bar did not hit the glass slide or the sides of the beaker. The magnetic stirrer was immediately turned on and the stirring power adjusted to 2000 rpm with a strobe light. The composition was stirred for five minutes, after which the % removal of hydrophobic soil was measured visually for each side of the slide. Slides were not reused.

## **Materials Description**

The materials utilized to prepare compositions evaluated in the following examples are summarized in Table 1, below.

Table 1

	Surfactants	Supplier	Slightly water soluble solvent	Supplier	Coupler .	Supplier
	ICONOL	BASF/	EEH <sup>1</sup>	Eastman/	DPM <sup>4</sup>	Dow/Midland, MI
	TDA9	Mount Olive,NJ BASF/	EXXAL 8 <sup>2</sup>	Kingsport,TN Exxon/	IPA <sup>5</sup>	Milsolv Co/
	ICONOL TDA8	Mount Olive, NJ	EXME 0	Houston, TX		Butler, WI
	ICONOL DA9	BASF/	SURFADONE	ISP/Lombard,		
		Mount Olive, NJ	LP100 EH <sup>3</sup>	IL Eastman /		
	NEODOL 1- 73B	Shell/Houston,TX	En	Kingsport,TN		
•	NEODOL 91-	Shell/Houston,TX	D-LIMONENE	Florida Co / Miami,FL		
9	ICONOL OP-	BASF/ Mount Olive,NJ	DOWANOL PnB	Dow/Midland, MI		
	E14-5	Tomah/Milton,WI	1-Octanol	Aldrich/ Milwaukee,WI		
	TRITON RW-	Union Carbide/ Little Fall,NJ	Di-isobutyl ketone	Aldrich/ Milwaukee, WI	j	
	PLURAFAC D-25	BASF/ Mount Olive,NJ				
	PLURAFAC RA-40	BASF/ Mount Olive,NJ				

<sup>&</sup>lt;sup>1</sup>Ethylene glycol, ethyl hexyl ether

10

15

## Example 1 and Comparative Examples A-E

The compositions of Example 1 and Comparative examples A-E are provided in Table 2. Comparative examples A and B were formulated to include only a slightly water soluble organic solvent (Comparative example A) or a surfactant (Comparative example B). Comparative example C was formulated to include a surfactant and a slightly water soluble organic solvent, wherein the slightly water soluble organic solvent was present in an amount just below the amount necessary to reach the haze point, i.e., the composition appeared clear so that the characters were readily discernible when the composition was evaluated by the

<sup>&</sup>lt;sup>2</sup>Isooctyl alcohol

<sup>&</sup>lt;sup>3</sup>2-ethyl hexanol

<sup>&</sup>lt;sup>4</sup>Dipropylene glycol monomethyl ether

<sup>&</sup>lt;sup>5</sup>Isopropyl alcohol

Haze Point Determination Test, as described above. Comparative examples D and E were formulated to include a slightly water soluble organic solvent in an amount above the amount necessary to reach the haze point, i.e., the compositions appeared cloudy and the presence of the characters could not be determined when the compositions were evaluated by the Haze Point Determination Test.

5

10

15

20

25

30

These compositions were subjected to the Hydrophobic Soil Removal Test, as described above. These results are shown in Table 3. The data in Table 3 demonstrated that there appeared to be a synergistic effect of the slightly water soluble organic solvent and the nonionic surfactant at a ratio to just below the haze point as shown by Comparative example C. However, unexpectedly it was observed that by increasing the ratio the slightly water soluble organic solvent to the nonionic surfactant so that the haze point is reached, the cleaning effect of the composition remarkably improved, as shown by Example 1. Comparative examples D and E demonstrated that when the ratio of the slightly water soluble organic solvent to the nonionic surfactant was increased to well above the haze point, no further cleaning improvement was observed.

A determination of the haze point was confirmed by a spectrophotometric analysis of a composition having increasing amounts of the slightly water soluble organic solvent added to an aqueous solution of a surfactant, represented by Example 1.

An aqueous solution of 0.35% by weight of a surfactant (ICONOL TDA9) and 0.14% by weight of isopropyl alcohol was prepared and stirred until clear. An aliquot of 4 gm was transferred from the solution to disposable polystyrene cuvette, available from Fisher Scientific. The percent transmittance was measured using a UVIKON 941 spectrophotometer, available from Kontron Instruments, San Diego, CA, at 500 nm wavelength. After the transmittance was recorded, the aliquot was decanted back into the solution. The slightly water soluble organic solvent (EEH) was added two drops at per interval, i.e., when the percent transmittance was determined after the two drop addition of the slightly water soluble organic solvent.

The percent transmittance (% transmittance at 500 nm) was plotted against the concentration of the slightly water soluble organic solvent (concentration % by

weight). Figure 1 shows the results for increasing amounts of EEH, as illustrated by Example 1 (at the haze point). It appears that the haze point can be determined graphically by drawing a line tangent to the part of the titration curve showing the greatest decrease in percent transmittance. A line can then be drawn tangent to the lower end asymptotic part of the curve. The amount of slightly water soluble organic solvent necessary to achieve a haze point for a given nonionic surfactant appears to be the concentration at the intersection of these two tangent lines (not shown).

Table 2

	Comp. Fr	Comp	Comp. Ex.	1	Comp. Ex. Comp. Ex.	Comp. Ex.
Example 100.:	Comp. Ex	F. B	Ü		Ď	E
	•					
Surfactants					3,5	35.0
ICONOL TDA9	:	0.35	0.35	0.35	0.33	0.33
ICONOL TDA8						
ICONOL DA9						
NEODOL 1-73B						
NEODOL 91-6						
ICONOL OP-10						
E14-5						
TRITON RW-75						
Slightly water soluble solvent						
EEH	0.13	,	0.13	0.25	0.37	50
Counter						
IDA	0.14	0.14	0.14	0.14	0.14	0.14
DI motor	halance	balance	balance	balance	balance	balance
DI Water			0.37	0.71	1.06	1.43
SOIVEIIVSUITACIANI TALO	ŧ	E	2	₽	æ	串
Dilmion ratio			close	hazv	cloudy	cloudy
Clarity of rtu	clear	Cicar	Cicai	Hotel	2000	

Table 3 Hydrophobic Soil Removal Rate (%)

Example No.:	Comp. Ex Comp. Ex. Comp. Ex.	Comp. Ex.	Comp. Ex.	-	Comp. Ex. Comp. Ex.	Comp. Ex.
	<	8	ပ		Q	æ
116:	6	c	\$	70	15	5
I Milli	À	,		١	,	,
2 min	0	2	9	0/	707	S.
111111 7		Ş	ç	ğ	08	9
3 min	0	07	2	2	B	3
A min	٥	30	95			
11001	,					
, min	0	32				
man C	į					

## Examples 2-8 and Comparative Examples F and G

5

10

15

20

25

The compositions of Examples 2-8 and Comparative examples F and G are provided in Table 4. These examples varied the surfactant and the slightly water soluble organic solvent. Examples 2 and 3 included a slightly water soluble organic solvent having a water solubility of about 0.06% as compared to about 0.1% used in Examples 1 and 2. Example 5 included a slightly water soluble organic solvent having a water solubility of about 0.124%.

These compositions were subjected to the Hydrophobic Soil Removal Test, as described above. These results are shown in Table 5.

A determination of the haze point was confirmed by a spectrophotometric analysis of a composition having increasing amounts of the slightly water soluble organic solvent added to an aqueous solution of a surfactant, represented by Example 8.

An aqueous solution of 0.35% by weight of a surfactant (NEODOL 91-6) and 0.14% by weight of isopropyl alcohol was prepared and stirred until clear. An aliquot of 4 gm was transferred from the solution to disposable polystyrene cuvette, available from Fisher Scientific. The percent transmittance was measured using a UVIKON 941 spectrophotometer, available from Kontron Instruments, San Diego, CA, at 500 nm wavelength. After the transmittance was recorded, the aliquot was decanted back into the solution. The slightly water soluble organic solvent (EEH) was added two drops at per interval, i.e., when the percent transmittance was determined after the two drop addition of the slightly water soluble organic solvent.

The percent transmittance (% transmittance at 500 nm) was plotted against the concentration of the slightly water soluble organic solvent (concentration % by weight). Figure 2 shows the results for increasing amounts of EEH, as illustrated by Example 8 (at the haze point). It appears that the haze point can be determined graphically by drawing a line tangent to the part of the titration curve showing the greatest decrease in percent transmittance. A line can then be drawn tangent to the lower end asymptotic part of the curve. The amount of slightly water soluble organic

solvent necessary to achieve a haze point for a given nonionic surfactant appears to be the concentration at the intersection of these two tangent lines (not shown).

Table 4

Example No.:	2	Comp. Ex. Comp. Ex.	Comp. Ex.	3	4	2	9	7	∞
Surfactants									
ICONOL TDA9	0.35								
ICONOL TDA8		0.35	:	0.35	0.35	0.35			
ICONOL DA9							0.35		
NEODOL 1-73B								0.35	
NEODOL 91-6									0.35
ICONOL OP-10									
E14-5									
TRITON RW-75									
Slightly Water Soluble									
Solvent									
EEH					0.18		0.14	0.11	0.14
EXXAL 8	0.14	,	60'0	0.09					
SURFADONE LP100						0.29			
Coupler									
IPA	0.14	0.14	0.14	0.14	0.14	0.14	0.14	0.14	0.14
DIwater	halance	balance	balance	balance	balance	balance	balance	balance	balance
colount/ curfactant ratio	0.4			0.26	0.51	0.83	0.4	0.31	0.4
Dilution Ratio	Ē	₽	₽	뫄	롸	캂	2		₽
Clarity of rtu	hazy	clear	slightly	hazy	hazy	hazy	hazy	hazy	hazy
			cloudy						

Table 5 Hydrophobic Soil Removal Rate (%)

Example No.:	2	Comp. Ex. Comp. Ex. F	Comp. Ex. G	6	4	'n	٠	7	<b>e</b> c
1 Min	20	0	0	20	30	20	20	20	20
2 min	99	10	5	80	70	80	20	35	40
3 min	95	25	5	>95	95	>95	80	70	80
4 min								95	8
5 min									

5

10

#### Examples 9-12

The compositions in Examples 9-12 are provided in Table 6. These concentrated compositions were formulated by increasing the amounts of the components determined to achieve the haze point by multiplying by the desired end dilution factor. Thus, when these concentrated compositions were diluted, the haze point was achieved.

These compositions were subjected to the Hydrophobic Soil Removal Test, as described above, after dilution with water at the ratio shown in Table 6. These results are shown in Table 7. The results demonstrated that the composition of the invention can be prepared as a concentrate, subsequently diluted with water and will still performed equivalently to the ready-to-use compositions that did not require dilution prior to use.

Table 6

Example No.:	9	10	11	12
Surfactant				
ICONOL TDA9	64.8	64.8	64.8	61.83
ICONOL TDA8				
ICONOL DA9				
NEODOL 1-73B				
NEODOL 91-6				
ICONOL OP-10				
E14-5				
TRITON RW-75				
Slightly Water Soluble				
Solvent				
EEH	25.9			
EXXAL 8			15.54	
SURFADONE LP100				33.6
ЕН		25.9		
DI water	9	9	19.66	4.6
solvent/ surfactant ratio	0.4	0.4	0.24	0.54
Dilution Ratio	1/259	1/259	1/259	1/239
Clarity of rtu	hazy	hazy	hazy	hazy

Table 7
Hydrophobic Soil Removal Rate (%)

Example No:	9	10	11	12
1 Min	40	60	60	80
2 min	90	90	90	95
3 min				
4 min				
5 min		i	i	L

PCT/US97/18353

#### WO 98/54277

## Examples 13-17 and Comparative Examples H and I

The compositions in Examples 13-17 were formulated using varied combinations of a nonionic surfactant and a slightly water soluble organic solvent. Comparative example H was formulated using an organic solvent known to have a water solubility of zero. Comparative example I was formulated using an organic solvent known to have a water solubility of about 5.6 %.

Table 8

Example No.:	Comp. Ex. H	Comp. Ex. I	13	14	15	16	17
Surfactant							
ICONOL TDA9	0.35	0.35	0.35				0.35
ICONOL TDA8							
ICONOL DA9							
NEODOL 1-73B							
NEODOL 91-6							
ICONOL OP-10				0.35			
E14-5					0.35		
TRITON RW-75						0.35	
Slightly Water Soluble							
Solvent							
EEH				0.15	0.17	0.13	
EXXAL 8							
SURFADONE LP100							
EH							
D-LIMONENE	0.05						
DOWANOL PnB		6.1					
1-Octanol			0.17				
Di-isobutyl ketone							0.15
Coupler							
IPA	0.14	0.14	0.14	0.14	0.15	0.15	0.2
DI water	balance	balance	balance	balance	balance	balance	balanœ
solvent/ surfactant ratio	0.14	17.4	0.49	0.43	0.49	0.37	0.43
Dilution Ratio	rtu	rtu	rtu	rtu	rtu	rtu	rtu
Clarity of rtu	cloudy/ droplets	cloudy	hazy	hazy	hazy	hazy	hazy

Table 9

Hydrophobic Soil Removal Rate (%)

Example No.:	Comp. Ex.	Comp. Ex.	13	14	15	16	17
1 Min	0	5	30	80	70	20	40
2 min	5	30	60	95	95	40	90
3 min	20	60	90			60	
4 min	35	80				80	
5 min		>95				95	1

10

## Examples 18-19 and Comparative Examples J and K

5

10

15

20

The previous 17 examples all utilized a nonionic surfactant that consisted of ethylene oxide-containing nonionic surfactants. Examples 18 and 19 were formulated utilizing propylene oxide-containing nonionic surfactants and a slightly water soluble organic solvent present in an amount to achieve the haze point of the composition. In particular, Example 18 contained a C<sub>12</sub>-C<sub>16</sub> alcohol having random ethyoxylate/propoxlyate units, wherein the composition was formulated at its haze point. Comparative example J contained the same surfactant as in Example 18 but was formulated below the haze point. Example 19 contained a linear alcohol having block ethoxylate/propoxylate units, wherein the composition was formulated at its haze point. Comparative example K contained the same surfactant as in Example 19 but was formulated below the haze point. The formulations for Examples 18 and 19 and Comparative examples J and K are shown in Table 10, below.

These compositions were subjected to the Hydrophobic Soil Removal Test, as described above. The results are shown in Table 11. The results show that improved cleaning capability is observed when the composition is formulated to achieve its haze point as compared to a composition that included both the nonionic surfactant and the slightly water soluble organic solvent but formulated below its haze point thus, confirming the trend observed in Examples 1-17.

However, it was observed that the compositions in Examples 18 and 19 appeared to separate or settle out over time. It is believed that with the addition of a thickener, the composition would stabilize so that separation or settling would not occur.

Table 10

Example No.:	Comp. Ex.	Comp. Ex. K	18	19
Surfactant				
PLURAFAC D-25	0.49		0.49	
PLURAFAC RA-40		0.49		0.49
Slightly Water Soluble Solvent				
EEH	0.2	0.15	0.38	0.4
Coupler				
IPA	0.2	0.2	0.2	0.2
DI water	balance	balance	balance	balance
solvent/ surfactant ratio	0.4	0.31	0.78	0.62
Dilution Ratio	rtu	rtu	rtu	rtu
Clarity of rtu	clear	clear	hazy	hazy

5

Table 11

Hydrophobic Soil Removal Rate (%)

Example No:	Comp. Ex.	Comp. Ex. K	18	19
1 Min	5	0	5	5
2 min	15	5	30	30
3 min	20	20	60	70
4 min	30	30	90	90
5 min	40	35		

10

It is to be understood that the above description is intended to be illustrative, and not restrictive. Various modifications and alterations of this invention will become apparent to those skilled in the art from the foregoing description without departing from the scope and the spirit of this invention. It should be understood that this invention is not to be unduly limited to the illustrative embodiments set forth herein.

20

#### WHAT IS CLAIMED IS:

1. A composition suitable for removing hydrophobic soils from a surface, wherein the composition is formed by combining a nonionic surfactant;

a very slightly water soluble organic solvent;

water; and

an optional additive; wherein the nonionic surfactant and the slightly water soluble solvent are each in an amount sufficient to achieve a haze point in the composition.

10

30

- 2. The composition of claim 1 wherein the slightly water soluble organic solvent has a water solubility from about 0.01 % by weight to about 1.0 weight percent.
- The composition of claim 1 wherein the slightly water soluble organic solvent has a water solubility from about 0.01 % by weight to about 0.2 % by weight.
- 4. The composition of claim 1 wherein the slightly water soluble

  organic solvent is not a hydrocarbon or halocarbon, contains one or more
  heteroatoms of oxygen, nitrogen, sulfur, phosphorous containing functional groups
  and contains an alkyl group containing about 7 carbon atoms to about 16 carbon
  atoms.
- 5. The composition of claim 4 wherein the slightly water soluble organic solvent contains a moiety selected from the group of an alcohol, an aldehyde, a ketone, an ether, a glycol ether, an acid, an amine, an ester, an N-alkyl pyrrolidone, and a compatible mixture thereof.
  - 6. The composition of claim 1 wherein the slightly water soluble organic solvent and the nonionic surfactant are present in a weight ratio of the

slightly water soluble organic solvent: the nonionic surfactant of about 0.3:1.0 to about 0.8:1.0.

7. The composition of claim 1 wherein the nonionic surfactant is selected from the group of a branched or linear primary alcohol ethoxylate, a secondary alcohol ethoxylate, a branched decyl/tridecyl alcohol ethoxylate, a branched or linear alkylphenol ethoxylate, a branched or linear alkyl amine ethoxylate, an alkyl ether amine ethoxylate, a linear alcohol alkoxylate, and a mixture thereof.

10

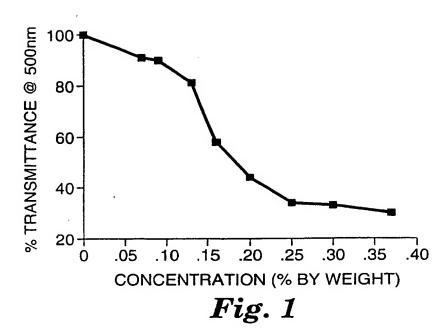
25

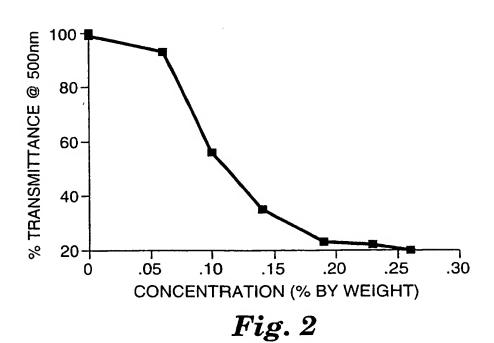
30

- 8. The composition of claim 7 wherein the nonionic surfactant has an HLB value of about 7 to about 16.
- 9. The composition of claim 1 wherein the optional additive is selected from the group of a coupler, a colorant, a fragrance, a preservative, an antimicrobial agent, a foaming agent, an anti-foaming agent, a film-forming agent, a thickener, and a mixture thereof.
- The composition of claim 1 wherein the coupler is selected from the group of isopropyl alcohol, dipropylene glycol monomethyl ether, propyl glycol n-butyl ether, dipropylene glycol n-butyl ether, and a mixture thereof.
  - 11. The composition of claim 1 wherein the slightly water soluble organic solvent and the nonionic surfactant are present in a weight ratio of the slightly water soluble organic solvent: the nonionic surfactant of about 0.3:1.0 to about 0.8:1.0.
  - 12. A method of removing hydrophobic soils from soiled surfaces comprising the steps of:
  - applying to a soiled surface an effective amount of the composition of claim 1; and

performing a mechanical operation on the surface with an abrasive article after applying the composition to the surface.

The method of claim 12, further comprising the step of removing the composition from the surface after the performing a mechanical operation step.





In. ational Application No
PCT/US 97/18353 —

		101,00 31,	- 10000			
A. CLASSI IPC 6	FICATION OF SUBJECT MATTER C11D1/66 C11D1/44 C11D1/72	C11D3/43				
According to	o International Patent Classification(IPC) or to both national classifica	ation and IPC				
	SEARCHED					
Minimum documentation searched (classification system followed by classification symbols)  IPC 6 C11D						
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched						
Electronic data base consuited during the international search (name of data base and, where practical, search terms used)						
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT	*****				
Category *	Citation of document, with indication, where appropriate, of the rele	evant passages	Relevant to claim No.			
х	US 5 523 024 A (MILLS SCOTT C ET June 1996 see claims 1-9 see examples 22-27	· AL) 4	1,4,5,9, 10,12,13			
X	US 5 158 710 A (VANEENAM DONALD N) 27 October 1992 cited in the application see column 6, line 67 - column 7, line 17 see claims 1-15 see examples		1-3,6,7, 9-12			
X	EP 0 040 882 A (PROCTER & GAMBLE ; PROCTER & GAMBLE EUROP (BE)) 2 December 1981  see claims 1-6 see page 8, line 18 - page 10, line 12 see page 15, line 25 - line 32		1-3, 7-10,12, 13			
X Furti	her documents are listed in the continuation of box C.	X Patent family members are listed in	n annex.			
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publicationdate of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but		"T" later document published after the international filing date or prionty date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "8" document member of the same patent family				
Date of the	actual completion of theinternational search	Date of mailing of the international seal	rch report			
22 April 1998		06/05/1998				
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  Fax: (+31-70) 340-3016		Authorized officer Richards, M				

In. ational Application No
PCT/US 97/18353

	<u>.                                    </u>	PC1/US 9//18353 =
C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 91 09104 A (BUCKEYE INT) 27 June 1991 see claims 1-8,15	1-3,5,7,
	see page 13, line 32 - page 14, line 15 	
X	EP 0 666 308 A (PROCTER & GAMBLE) 9 August 1995 see claims 1-10 see page 6, line 1 - line 30	1-8, 11-13
X	FR 1 207 745 A (ROHM & HAAS) 18 February 1960 see claims 1-5	1-7, 11-13
X	FR 1 061 718 A (TÉCALÉMIT SA) 14 April 1954 see claims A-P	1-5,12, 13
		·
	· ·	

Information on patent family members

Int. ational Application No
PCT/US 97/18353

Information on patent family members

Int. tional Application No
PCT/US 97/18353 —

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0040882 A		EP 0106266 A FI 811612 A,B,	25-04-84 28-11-81
WO 9109104 A	27-06-91	AU 6910191 A CA 2070679 A EP 0506744 A JP 5504584 T	18-07-91 20-06-91 07-10-92 15-07-93
EP 0666308 A	09 <b>-</b> 08-95	AU 1737895 A BR 9506715 A CA 2182672 A CN 1144533 A FI 963078 A JP 9508655 T NO 963252 A WO 9521233 A AU 1836195 A EP 0666307 A JP 9508342 T WO 9521122 A ZA 9500866 A	21-08-95 09-09-97 10-08-95 05-03-97 01-10-96 02-09-97 01-10-96 10-08-95 21-08-95 09-08-95 26-08-97 10-08-95 13-10-95
FR 1207745 A	18-02-60	NONE	
FR 1061718 A	14-04-54	NONE	

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:			
☐ BLACK BORDERS			
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES			
☐ FADED TEXT OR DRAWING			
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING			
☐ SKEWED/SLANTED IMAGES			
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS			
☐ GRAY SCALE DOCUMENTS			
LINES OR MARKS ON ORIGINAL DOCUMENT			
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY			
<u> </u>			

# IMAGES ARE BEST AVAILABLE COPY.

**□** OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.